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California Polytechnic State University, San Luis Obispo

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# Elemental principles of t-topos

G. KATO

PACS. 03.65.Ud – Entanglement and quantum nonlocality (*e.g.* EPR paradox, Bell’s inequalities, GHZ states, etc.).

PACS. 03.65.Ta – Foundations of quantum mechanics; measurement theory.

PACS. 04.20.Cv – Fundamental problems and general formalism.

**Abstract.** – In this paper, a sheaf-theoretic approach toward fundamental problems in quantum physics is made. For example, the particle-wave duality depends upon whether or not a presheaf is evaluated at a specified object. The *t-topos* theoretic interpretations of *double-slit interference*, *uncertainty principle(s)*, and the *EPR-type non-locality* are given. As will be explained, there are more than one type of uncertainty principle: the *absolute* uncertainty principle coming from the direct limit object corresponding to the refinements of coverings, the uncertainty coming from a *micromorphism* of shortest observable states, and the uncertainty of the observation image. A sheaf theoretic approach for quantum gravity has been made by Isham-Butterfield in (*Found. Phys.*, **30** (2000) 1707), and by Raptis based on abstract differential geometry in MALLIOS A. and RAPTIS I., *Int. J. Theor. Phys.*, **41** (2002), qr-qc/0110033; MALLIOS A., *Remarks on “singularities”* (2002) qr-qc/0202028; MALLIOS A. and RAPTIS I., *Int. J. Theor. Phys.*, **42** (2003) 1479, qr-qc/0209048. See also the preprint by REQUARDT M., *The translocal depth-structure of space-time, Connes’ “Points, Speaking to Each Other”, and the (complex) structure of quantum theory*, for another approach relevant to ours. Special axioms of t-topos formulation are: i) the usual linear-time concept is interpreted as the image of the presheaf (associated with time) evaluated at an object of a *t-site* (*i.e.*, a category with a *Grothendieck topology*). And an object of this t-site, which is said to be a *generalized time period*, may be regarded as a hidden variable and ii) every object (in a *particle ur-state*) of microcosm (or of macrocosm) is regarded as the microcosm (or macrocosm) component of a product category for a presheaf evaluated at an object in the *t-site*. The fundamental category  $\hat{S}$  is defined as the category of  $\prod_{\alpha \in \Delta} C_\alpha$ -valued presheaves on the *t-site*  $S$ , where  $\Delta$  is an index set. The study of topological properties of  $S$  with respect to the nature of multi-valued presheaves is left for future study on the *t-topos* version of relativity (see KATO G., *On t.g. Principles of relativistic t-topos*, in preparation; GUTS A. K. and GRINKEVICH E. B., *Toposes in General Theory of Relativity* (1996), arXiv:gr-qc/9610073, 31). We let  $C_1$  and  $C_2$  be microcosm and macrocosm discrete categories, respectively, in what will follow. For further development see also KATO G., *Presheafification of Matter, Space and Time, International Workshop on Topos and Theoretical Physics, July 2003, Imperial College* (invited talk, 2003).

*Basic definitions.* – For t-topos theory, the notion of a t-site plays the role of hidden variables. More conditions will be added to the site when the further applications in [1] are made. For the concept of a Grothendieck topology, see [2–4] or [5].

*Definition 1.1.* Let  $S$  be a site, namely, a category with a Grothendieck topology and let  $\hat{S}$  be the category of presheaves from  $S$  to the product category  $\prod_{\alpha \in \Delta} C_\alpha$ . That is,  $\hat{S} = (\prod_{\alpha \in \Delta} C_\alpha)^{S^{\text{opp}}}$ , where  $S^{\text{opp}}$  is the dual category of  $S$ . Then site  $S$  is said to be a *temporal site* or simply *t-site* when  $S$  is used in this context. Category  $\hat{S}$  is said to be a *t-topos* or *temporal topos*. We sometimes call an object of  $\hat{S}$  an *entity*.

*Remarks 1.2.* i) See [3] or [5] for Grothendieck topologies which is sufficient for t-topos theory.

ii) For an object  $F$  in  $\hat{S}$ , which we write as  $F \in \text{Ob}(\hat{S})$  and for an object  $V$  in  $S$ , *i.e.*,  $V \in \text{Ob}(S)$ ,  $F(V)$  is an object in  $\prod_{\alpha \in \Delta} C_\alpha$ . Namely,

$$F(V) = (F(V)_\alpha)_{\alpha \in \Delta},$$

where  $F(V)_\alpha$  is the  $\alpha$ -th component of  $F(V)$ . We also say that  $F(V)$  is the *manifestation* of  $F$  at the generalized time period  $V$ .

*Definition 1.3.* Let  $F$  be an object of  $\hat{S}$ . The *state of  $F$  during a generalized time period  $W$* , namely, an object of  $S$ , is defined by the pair  $(F, W) = F(W)$ . Then  $F$  is said to be *manifested* during  $W$ . When a generalized time period is *not given*,  $F$  is said to be in a *pre-state* or in an *unmanifested state*. (See *Note 1.4'* below.) For a specified object  $V$ , the object  $F(V)$  is said to be in the *particle ur-state* of  $F$  over the generalized time period  $V$ , and when one object in the t-site is not specified for  $F$ , then  $F$  is said to be in a *wave ur-state* of  $F$  and sometimes denoted as  $\{F(W)\}_{W \in \text{Ob}(S)}$  or  $F(-)$ .

*Definition 1.4.* An *observation* of an object  $m$  of  $\hat{S}$  by another object  $P$  of  $\hat{S}$  in a non-discrete category  $C_\alpha$ ,  $\alpha \in \Delta$ , over a generalized time period  $V$  is a natural transformation  $s$  over  $V$ . Namely, the morphism in  $C_\alpha$

$$s_V : m(V) \longrightarrow P(V) \tag{1}$$

is said to be an observation of  $m$  by  $P$  during the generalized time period  $V$ . If such a natural transformation  $s$  over a specified object  $V$  of t-site exists, then  $m$  is said to be *observable* or *measurable by  $P$  during the generalized time period  $V$* . We may also say that  $m$  *interacts* with  $P$  if there exists such a natural transformation from  $m$  to  $P$  over some generalized time period. Notice that when  $m$  is measured,  $m$  needs to be in a particle ur-state since an object in  $S$  must be specified for the natural transformation in (1).

*Note 1.4'.* When an object  $m$  of  $\hat{S}$  is not observed, not only  $m$  is in the wave ur-state, *i.e.*,  $\{m(V)\}$  in Definition 1.3, but also (we will be more precise in Definitions 2.1 and 2.2)  $m$  may be considered as the totality of decomposed subobjects of  $m$  which are to be evaluated at unspecified objects of  $S$ . It may be most appropriate to consider an unobserved object  $m$  to be simply presheaf “ $m$ ”.

*Note 1.5.* Let  $\{V_i \rightarrow V\}$  be a covering of  $V$  and let  $\{V_{i \leftarrow j} \rightarrow V_i\}$  be a covering of  $V_i$  as in [2–6] or [7]. Then by composing covering morphisms,  $\{V_{i \leftarrow j} \rightarrow V\}$  is a covering of  $V$ . Similarly, by composing further, one gets a covering  $\{V_{k \leftarrow j \leftarrow i} \rightarrow V\}$  of  $V$ . Then, consider the *inverse limit covering*

$$\left\{ \lim_{\longleftarrow} V_{\dots \leftarrow k \leftarrow j \leftarrow i} \longrightarrow V \right\} \tag{2}$$

of  $V$ . In the next section, we will need this notion.

*Definition 1.6.* Let  $C_1$  be the microcosm discrete category. That is, an object of  $C_1$  is a particle in microcosm, and as a category,  $C_1$  is discrete, namely, no morphisms exist except identity morphisms.

*Note 1.7.* The topos approach in [8] and [9] by Butterfield-Isham can be interpreted in terms of t-topos as follows. First, we will explain the basic method in [8] and [9]: Let  $S$  be the state space and let  $A$  be a physical quantity and let  $\hat{A}$  be a real-valued function representing  $A$  as in [8]. Then the *functional composition principle* (referred to as *FUNC* in [8] and [9]) is the commutative diagram

$$\begin{array}{ccc} H & \xrightarrow{V} & R \\ \downarrow & & \downarrow \\ H & \xrightarrow{V} & R \end{array}$$

where the left-hand side vertical morphism  $\tilde{h} : H \rightarrow H$  on the Hilbert space  $H$  is induced by a function  $h : R \rightarrow R$  on real numbers. That is, for the value  $V(\hat{A})$  of the physical quantity  $A$  represented by the operator  $\hat{A}$ , we have  $V(\tilde{h}(\hat{A})) = h(V(\hat{A}))$  which is the commutativity of the above diagram. The Butterfield-Isham topos theory interprets this commutative diagram as follows: Regarding the valuation  $V$  as a natural transformation  $\gamma$  from a terminal object 1 to an object  $X$  in the topos of presheaves, for  $\hat{f} : \hat{B} \rightarrow \hat{A}$  in the category of all bounded self-adjoint operators, we first have  $X(\hat{f}) : X(\hat{A}) \rightarrow X(\hat{B})$ , and  $\gamma_A$  in  $X(\hat{A})$  and  $\gamma_B$  in  $X(\hat{B})$ , since  $\gamma$  is a natural transformation from 1 to  $X$ . Here we make the following interpretation of  $\gamma_A$  as a morphism from  $A$  to  $X$  using Yoneda Lemma. Then the Kochen-Specker Theorem states that *such a global section  $\gamma$  does not exist to satisfy the commutative diagram*

$$\begin{array}{ccc} X & = & X \\ \uparrow & & \uparrow \\ \hat{B} & \xrightarrow{\hat{f}} & \hat{A} \end{array}$$

where the vertical morphisms are  $\gamma_A$  and  $\gamma_B$ . Namely,  $\gamma_B = \gamma_A \circ \hat{f}$ , *i.e.*,  $X(\hat{f})(\gamma_A) = \gamma_B$ , the *matching condition* in [8, 9]. In terms of t-topos, the value  $V^s(A)$  of  $A$  at a state  $s \in S$  corresponds to  $m(V)$  over  $V \in Ob(S)$ , where  $m \in Ob(\hat{S})$ . Suppose that usual linear time  $\tau(V)$  precedes  $\tau(U)$ . And let  $g : V \rightarrow U$  be the associated morphism in the t-site  $S$ . (See [10] for the associated morphism induced by the linear ordering on  $\tau$ .) Then, the t-topos version of Kochen-Specker Theorem states that *there does not exist a natural transformation  $s$  over the t-site  $S$  (itself) making the diagram*

$$\begin{array}{ccc} m(V) & \xleftarrow{m(g)} & m(U) \\ \downarrow & & \downarrow \\ P(V) & \xleftarrow{P(g)} & P(U) \end{array}$$

*commutative*, where the left-hand side vertical morphism is  $s_V$  and the right-hand side vertical morphism is  $s_U$  as in Definition 1.4. Note that such a globally defined natural transformation  $s$  (which is  $\gamma$  in Butterfield-Isham) from  $m$  to  $P$  is defined for the entire objects of  $S$  (a global section from 1 to  $X$ ). As for t-topos, the definition of an observation is defined for a specified object of  $S$  as in Definition 1.4.

*Note 1.8.* Every object in  $C_1$  is the  $C_1$ -component of a presheaf in  $\hat{S}$  evaluated at a generalized time period in the t-site  $S$ . For example, if  $\underline{e}$  is a particle in  $C_1$ , then there exists

an associated presheaf  $e$  in  $\hat{S}$  such that for an object  $V$  in the t-site  $S$ , we have  $\underline{e} = e(V)$ , which is determining the (particle ur-) state of  $e$  for  $\underline{e}$ . Then the particle  $\underline{e}$  is said to be *presheafified* by a presheaf  $e$  in  $\hat{S}$ .

*Remark 1.9.* Let  $\underline{e}$  be any object in  $C_1$ . For example,  $\underline{e}$  can be an electron. For a particle  $\underline{e}$  in  $C_1$ , the position and time  $x$  and  $t$  are associated locally. As is mentioned in Note 1.8, we presheafify  $\underline{e}$  as  $\underline{e} = e(V)$  in  $C_1$ , where  $e \in Ob(\hat{S})$  and  $V \in Ob(S)$ . We will presheafify the position by presheaf  $\kappa$  and time by presheaf  $\tau$  defined over the same object  $V$  in the third section, so that  $(\kappa(V), \tau(V))$  plays a local coordinate system of  $e(V)$ .

*Definition 1.10.* Let  $m_1, m_2, \dots, m_r$  be objects of  $\hat{S}$ . If the  $r$ -tuple  $(m_1, m_2, \dots, m_r)$  can be considered as one object of  $\hat{S}$  over a subsite, then objects  $m_1, m_2, \dots, m_r$  are said to be *ur-entangled* (or *ur-correlated*). See [11] for the application to the EPR-type non-locality.

*Sheaf-theoretic methods for non-locality and sub-Planck region.* – Let  $m$  and  $m'$  be the presheaves associated with  $\underline{m}$  and  $\underline{m}'$  and let  $(\kappa, \tau)$  be the associated sheaves to space and time to  $m$ . Suppose that  $m$  and  $m'$  are ur-entangled as defined in Definition 1.10. Furthermore, assume that  $m(V)$  and  $m'(V)$  are physically a distance apart (for the same object  $V$ ), *e.g.*, 11 kilometers apart. Then the space-time  $(\kappa(V), \tau(V))$  of  $m(V)$  does not contain  $m'(V)$ , if necessary by taking  $V$  “small” enough in the sense of a covering. That is, space-time presheaves are associated with  $m$  in  $\hat{S}$ . Namely,  $(\kappa, \tau)$  should be denoted as  $(\kappa_m, \tau_m)$ , see also [11].

*Definition 2.1.* Let  $M$  be a matter in the macrocosm discrete category  $C_2$ . Let  $m$  be the associated presheaf to  $M$ . Then a finite direct sum of presheaves  $\sum_{\lambda \in \Lambda} m_\lambda$  of  $m$  is said to be a *uniform quantum decomposition* of  $m$  with respect to a covering  $\{V_\lambda \rightarrow V\}$  of a generalized time period  $V$  if each  $m_\lambda$  is an object of  $\hat{S}$  so that  $m_\lambda(V_\lambda)$  may be an object of  $C_1$ , and  $\sum_{\lambda \in \Lambda} m_\lambda(V_\lambda) = m(V)$ . See [10] for the notion of a sub-Planck decomposition.

*Remark 2.2.* A short remark on Double-Slit Interference may be appropriate, see [12] for details. Suppose that an electron  $\underline{e}$  is fired at a certain time. In terms of t-topos,  $\underline{e} = e(V)$  is fired at  $(\kappa(V), \tau(V))$ , where  $e, \kappa$ , and  $\tau$  are associated presheaves to the electron  $\underline{e}$ , space and time. Assume also that two slits are appropriately narrow and the spacing between the slits is much larger than the width of the slit. Let  $(\kappa(U), \tau(U))$  be the position and the time when the electron hits the screen, inducing a morphism  $g : V \rightarrow U$ . For the two slits, let  $W$  and  $W'$  be the associated objects of the t-site  $S$  for which  $(\kappa(W), \tau(W))$  and  $(\kappa(W'), \tau(W'))$  would be the corresponding slits that  $\underline{e}$  would go through. Without an observation at either one of the slits, there are two objects, *i.e.*,  $W$  and  $W'$ , in  $S$ . Hence, by Definition 1.3,  $e$  remains to be in a wave ur-state. In the case where there is no mask between the screen and an electron gun, one needs to consider not only via  $W$  and  $W'$ , but also all the factorizations of  $g : V \rightarrow U$ . Then  $e$  is in the wave ur-state  $e(\{g : V \rightarrow U\})$ , where  $\{g : V \rightarrow U\} = \{W \in Ob(S) : g = f \circ h, \text{ where } f : V \rightarrow W \text{ and } h : W \rightarrow U\}$ , see [12] for details.

*Remark 2.3.* One can choose a covering  $\{V_i \rightarrow V\}$  and another covering  $\{V_{i \leftarrow j} \rightarrow V\}$  as in Note 1.5, so that  $m(V_i)$  and  $m(V_{i \leftarrow j})$  may belong to  $C_1$  and the Planck scale category  $C_{P1}$ , respectively.

*Remark 2.4.* First note, for example, when we consider the  $C_1$ -components of  $m(V)$  and  $P(V)$  in Definition 1.4, such a morphism as  $s_V$  in (1) belongs to a non-discrete category  $C_\alpha$ . However, in the following, we simply say that  $s_V$  is an observation of  $m(V)$  by  $P(V)$  in  $C_1$ . An  $\hat{S}$ -theoretic interpretation of an observation of an electron by an observer is the following. Let  $e$  be the presheaf in  $\hat{S}$  corresponding to an electron  $\underline{e}$ . Let  $P$  be an observer, *i.e.*, an object of  $\hat{S}$  and let  $V$  be a generalized time period. As defined in Definition 1.4, an observation of  $e$  by  $P$  is a natural transformation  $s_V$  from  $e$  to  $P$  over  $V \in Ob(S)$ .

*Remark 2.5 (Uncertainty principles).* Suppose time  $\tau(V)$  precedes  $\tau(U)$  inducing a morphism  $V \xrightarrow{\varepsilon} U$  as in Note 1.7. We define the notion of a micromorphism as follows: the morphism  $V \xrightarrow{\varepsilon} U$  is said to be a *micromorphism* if the morphism  $\varepsilon$  can not be factored as  $\varepsilon = \beta \circ \alpha$ , where  $\alpha : V \rightarrow W$  and  $\beta : W \rightarrow U$  and so that  $\tau(V)$  may precede  $\tau(W)$  which precedes  $\tau(U)$ . For a general morphism  $g : V \rightarrow U$ , one can consider a micro-decomposition of  $g$  as follows:  $g = g_n \circ \dots \circ g_0$  and each  $g_j : V_{j-1} \rightarrow V_j$  is a micromorphism. A consequence of a micromorphism  $V \xrightarrow{\varepsilon} U$  is that it is impossible to observe a particle (presheaf) between  $\tau(V)$  and  $\tau(U)$  by the definition. Consequently, the position of *particle*  $m$  between  $\tau(V)$  and  $\tau(U)$  cannot be known (observed). Since  $\kappa$  and  $\tau$  are ur-entangled, we also obtain the uncertainty in position as well. (See the following third section and [10], and [4] for the relativistic version.) The above uncertainty corresponds to the usual Heisenberg uncertainty principle in the following sense. For a micromorphism  $V \xrightarrow{\varepsilon} U$ , the difference in position  $\kappa(U) - \kappa(V)$  times the difference in momentum  $p(U) - p(V)$  is not less than  $\hbar$ , where  $p$  is the presheaf associated with momentum.

There is another uncertainty principle that is absolute in nature. Since we have replaced the notion of a set theoretic point with the notion of an object of a category, we have a finite value of the direct limit over coverings  $\lim_{\rightarrow} \tau(V_{\dots \leftarrow j \leftarrow i})$ . (Note that it is not the inverse limit since a presheaf is contravariant.) Similarly, we have the absolute uncertainty for position sheaf. This material is expected to be expanded in a forthcoming paper [1].

*Remark 2.6 (Definition 1.10 and the EPR).* Let  $\underline{e}$  and  $\underline{e}'$  be entangled electrons, and let  $e$  and  $e'$  be the associated presheaves which are ur-entangled. Namely, the pair  $(e, e')$  is an object of  $\hat{S}$  satisfying  $\underline{e} = e(V)$  and  $\underline{e}' = e'(V)$  for the common object  $V$  in a subsite as in Definition 1.10. Then  $e^* = (e, e') \in \text{Ob}(\hat{S})$ . For a specified generalized time period  $V$ , we have objects  $e(V)$  and  $e'(V)$ . That is, the states of  $\underline{e}$  and  $\underline{e}'$  are determined by the generalized time period  $V$  and are independent of the physical distance between  $e(V)$  and  $e'(V)$  in  $C_2$ . When  $e$  is observed or measured by  $P$  in the sense of Definition 1.4, there is a morphism  $s_V : e(V) \rightarrow P(V)$  for some  $V$  in  $S$ . This  $V$  determining the state of the object  $e$  simultaneously determines the state of  $e'$  in the sense of Definition 1.10, see [11] for the full-length description of this topic.

*Sheafification of space and time.*

*Axiom 3.1 (Interpretation of the physical time as a sheaf).* As in Remark 1.9, we have already noted that the physical time depends upon generalized time. That is, we hypothesize that  $\tau$  is an object of  $\hat{S}$  so that  $\tau(V)$  is the (local) physical time. Then by this definition of the usual physical time, time is of local nature in the sense that for any object  $V$  of t-site  $S$ ,  $\tau(V)$  may exist only locally and may not be globally extended. (See the first paragraph of the second section.) For the dependency of  $\tau$  on a (ur-)particle is a consequence of (ur-)entanglement as we noted earlier.

*Axiom 3.2 (Interpretation of the physical space as a sheaf).* Let  $\kappa$  be the sheaf associated with the physical space with dimension  $d$ . That is, for an object  $V$  of  $S$ ,  $\kappa(V)$  is the local physical space in  $C_1$  (or in  $C_2$ ) of dimension  $d$ . Then decompose  $\kappa(V)$  as  $\kappa(V) = (\kappa(V)^3, \kappa(V)^{d-3})$  so that  $\kappa(V)^3$  may be the observable object of  $C_1$ , and  $\kappa(V)^{d-3}$  may be non-observable in  $C_1$ .

*Note 3.3.* A motivation for Axioms 3.1 and 3.2 is the following. The objects  $\kappa$  and  $\tau$  in  $\hat{S}$  are not only presheaves but also need to be sheaves so that the discrete concept of (pre)sheaves can give the continuum notion of space-time in macrocosm when local data agree on overlaps as in the definition of a sheaf, see [10] a for more thorough treatment of sheaves  $\kappa$  and  $\tau$ . In [1], as an application to quantum gravity, the following case is considered: let  $m, m'$ ,

$P, P'$   $\kappa$  and  $\tau$  be in  $\hat{S}$  and let  $V \xrightarrow{\varepsilon} U$  be a micromorphism in  $S$ , where  $m(V)$  and  $m'(V)$  have non-zero mass and the intersection of  $\kappa(V)$  and  $\kappa(U)$  is not empty. Then the commutative diagram is induced from  $V \xrightarrow{\varepsilon} U$  and morphisms among  $m, m', P,$  and  $P'$ . When  $m(V)$  is massless, the morphism from  $P(V)$  to  $P'(V)$  becomes a Lorentz morphism.

*Conclusion.* – With our model in terms of t-topos  $\hat{S}$ , particles, space and time are presheafified, and then the interplay among the concepts of observation, wave-particle ur-states, uncertainty principles, non-locality (entanglement), and quantum fluctuation are phrased in terms of objects, morphisms, and sheaves. The sequence of dependency is the following:

$$\hat{S} \xrightarrow{\text{evaluated at } Ob(S)} \prod_{\alpha \in \Delta} C_{\alpha} \xrightarrow{\text{projection}} C_1 \text{ (or } C_2).$$

\* \* \*

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